

Please use this form if you want the Hong Kong Offer Shares to be issued in the name of HKSCC Nominees Limited (“HKSCC Nominees”) and deposited directly into the Central Clearing and Settlement System (“CCASS”) for credit to your CCASS Investor Participant stock account or the stock account of your designated CCASS Participant 閣下欲以香港中央結算(代理人)有限公司(「香港結算代理人」)的名義登記將獲發行的香港發售股份，並直接存入中央結算及交收系統(「中央結算系統」)，以記存於閣下的中央結算系統投資者戶口持有人股份戶口或閣下指定的中央結算系統參與者股份戶口，請使用本表格

Staple your
payment
here
請將股款
緊訂在此

This Application Form uses the same terms as defined in the prospectus of Hengshi Mining Investments Limited (the “Company”) dated Monday, November 18, 2013 (the “Prospectus”). 本申請表格使用恒實礦業投資有限公司(「本公司」)於二零一三年十一月十八日(星期一)刊發的招股章程(「招股章程」)所界定的詞語。

Neither this Application Form nor the Prospectus constitutes an offer to sell or the solicitation of an offer to buy any Hong Kong Offer Shares in any jurisdiction other than Hong Kong. The Hong Kong Offer Shares may not be offered or sold in the United States without registration or an exemption from registration under the U.S. Securities Act. 本申請表格及招股章程概不構成在香港以外司法權區要約出售或游說要約購買香港發售股份。若無根據美國《證券法》登記或豁免登記，香港發售股份不得在美國提早發售或出售。

This Application Form and the Prospectus may not be forwarded or distributed or reproduced (in whole or in part) in any manner whatsoever in any jurisdiction where such forwarding, distribution or reproduction is not permitted under the law of that jurisdiction. 在任何根據當地法例不得發送、派發或複製本申請表格及招股章程的司法權區內概不得發送或派發或複製(不論方式，也不論全部或部分)本申請表格及招股章程。

Copies of the Prospectus, all related Application Forms and the other documents specified in the “Documents Delivered to the Registrar of Companies and Available for Inspection” section in Appendix VII to the Prospectus, have been registered by the Registrar of Companies in Hong Kong. Hong Kong Exchanges and Clearing Limited, The Stock Exchange of Hong Kong Limited (the “Hong Kong Stock Exchange”), Hong Kong Securities Clearing Company Limited (“HKSCC”), the Securities and Futures Commission of Hong Kong (the “SFC”) and the Registrar of Companies of Hong Kong take no responsibility for the contents of these documents. 招股章程、所有相關申請表格及招股章程附錄七「送呈公司註冊處處長及備查文件」一節所述其他文件已送呈香港公司註冊處處長登記。香港交易及結算所有限公司、香港聯合交易所有限公司(「香港聯交所」)、香港中央結算有限公司(「香港結算」)、香港證券及期貨事務監察委員會(「證監會」)及香港公司註冊處處長對此等文件的內容概不負責。

恒實礦業
HENGSHI MINING

HENGSHI MINING INVESTMENTS LIMITED

恒實礦業投資有限公司

Stock code : 1370
股份代號 : 1370
Maximum Offer Price : HK\$3.40 per Hong Kong offer Share, plus 1% brokerage, SFC transaction levy of 0.003% and Hong Kong Stock Exchange trading fee of 0.005% (payable in full on application in Hong Kong dollars and subject to refund)
最高發售價 : 每股香港發售股份3.40港元，另加1%經紀佣金、0.003%證監會交易徵費及0.005%香港聯交所交易費(須於申請時以港元繳足，可予退還)

You should read this Application Form in conjunction with the Prospectus, which contains further information on the application procedures. 招股章程尚有其他關於申請程序的其他資料，本申請表格應與招股章程一併閱讀。

Application Form 申請表格

To: Hengshi Mining Investments Limited
Merrill Lynch Far East Limited
Credit Suisse (Hong Kong) Limited
The Hong Kong Underwriters

致：恒實礦業投資有限公司
美林遠東有限公司
瑞士信貸(香港)有限公司
香港包銷商

Applicants' declaration

I/We agree to the terms and conditions and application procedures in this Application Form and the Prospectus. Please refer to the “Effect of completing and submitting this Application Form” section of this Application Form.

申請人聲明

本人/吾等同意本申請表格及招股章程的條款及條件以及申請程序。見本申請表格「填交本申請表格的效用」一節。

Warning: Only one application may be made for the benefit of any person. Please refer to the last four bullets of “Effect of completing and submitting this Application Form” section.

警告：任何人士只限作出一次為其利益而進行的認購申請。請參閱「填交本申請表格的效用」一節最後四點。

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Signed by (all) applicant(s) (all joint applicants must sign):
由(所有)申請人簽署(所有聯名申請人必須簽署):

Date: 日期:/...../.....
D日 M月 Y年

Number of Hong Kong Offer Shares applied for (not more than 18,750,000 shares) 申請香港發售股份數目(不超過18,750,000股股份)

Total amount 總額
HK\$ 港元

Name in English 英文姓名/名稱
Family name or company name 姓氏或公司名稱

Name in Chinese 中文姓名/名稱
Family name or company name 姓氏或公司名稱

Occupation in English 職業(以英文填寫)

Names of all other joint applicants in English (if any)
所有其他聯名申請人的英文姓名/名稱(如有)

1)
2)
3)

Hong Kong address in English and telephone no. (joint applicants should give the address and the telephone number of first-named applicant only) 香港地址(以英文填寫)(聯名申請人只須填寫排名首位申請人的地址及電話號碼)

For Nominees: You will be treated as applying for your own benefit if you do not complete this section. Please provide an account number or identification code for each (joint) beneficial owner. 由代名人遞交:代名人若不填寫本節,是項認購申請將視為閣下利益提出。請填寫每名(聯名)實益擁有人的賬戶號碼或識別編碼。

ADDRESS LABEL 地址標貼(Your name(s) and address in Hong Kong in BLOCK letters 請用英文正楷填寫姓名/名稱及香港地址)

For Internal use 此欄供內部使用

For Broker use 此欄供經紀填寫 Lodged by 遞交申請的經紀

Broker No. 經紀號碼	Broker's Chop 經紀印章

Cheque/banker's cashier order number 支票/銀行本票號碼

Name of bank on which cheque/banker's cashier order is drawn (see “How to make your application” section)
兌現支票/銀行本票的銀行名稱(見「申請手續」一節)

Forename(s) 名字

Forename(s) 名字

Hong Kong Identity Card No./Passport No./Hong Kong Business Registration No.* (Please delete as appropriate) 香港身份證號碼/護照號碼/香港商業登記號碼*(請刪除不適用者)

Hong Kong Identity Card No./Passport No./Hong Kong Business Registration No. of all other joint applicants* (Please delete as appropriate) 所有其他聯名申請人的香港身份證號碼/護照號碼/香港商業登記號碼*(請刪除不適用者)

1)
2)
3)

Telephone No. 電話號碼

THIS BOX MUST BE DULY COMPLETED 必須填妥此欄

Participant I.D. of the CCASS Investor Participant or designated CCASS Participant 中央結算系統投資者戶口持有人或指定的中央結算系統參與者的參與者編號

For designated CCASS Participant or Corporate CCASS Investor Participant, please also affix the company chop bearing its company name 指定的中央結算系統參與者或中央結算系統投資者戶口持有人,請加蓋顯示公司名稱的公司印鑑

(See paragraph 2 in the section “How to make your application”) (請參閱「申請手續」一節第2段)

Please use this form if you want the Hong Kong Offer Shares to be issued in the name of HKSCC Nominees Limited (“HKSCC Nominees”) and deposited directly into the Central Clearing and Settlement System (“CCASS”) for credit to your CCASS Investor Participant stock account or the stock account of your designated CCASS Participant 如閣下欲以香港中央結算(代理人)有限公司(「香港結算代理人」)的名義登記將獲發行的香港發售股份，並直接存入中央結算及交收系統(「中央結算系統」)，以記存於閣下的中央結算系統投資者戶口持有人股份戶口或閣下指定的中央結算系統參與者股份戶口，請使用本表格

- * (1) If you are a CCASS Investor Participant, only a Hong Kong Identity Card number (if you are an individual) or a Hong Kong Business Registration number (if you are a body corporate) will be accepted for this application, please see paragraph 2 under the section “How to make your application”. 如閣下為中央結算系統投資者戶口持有人，是項申請僅接納香港身份證號碼(如屬個別人士)或香港商業登記號碼(如屬法人團體)；請參閱「申請手續」一節第2段。
- (2) If you are applying through a designated CCASS Participant (other than a CCASS Investor Participant): For an individual, you must provide your Hong Kong Identity Card number or passport number. If you hold a Hong Kong Identity Card, please provide that number. If you do not hold a Hong Kong Identity Card, please provide your passport number. For a body corporate, please provide your Hong Kong Business Registration number. 如閣下透過中央結算系統投資者戶口持有人以外的指定中央結算系統參與者提出申請：如屬個別人士，必須填寫閣下的香港身份證號碼或護照號碼(持有香港身份證者請填寫香港身份證號碼，否則請填寫護照號碼)；如屬法人團體，請填寫香港商業登記號碼。
- (3) Part of the Hong Kong Identity Card number/passport number of you or, for joint applicants, the first-named applicant may be printed on your refund cheque (if any). Such data will be used for checking the validity of Application Form and such data would also be transferred to a third party for such purpose and refund purpose. Your banker may require verification of your Hong Kong Identity Card number/passport number before you can cash your refund cheque. 日後如需退回申請股款，退款支票上或會印有閣下或(如屬聯名申請人)排名首位申請人的香港身份證號碼／護照號碼的一部分。有關資料將用於核實申請表格的有效性，亦會轉交第三方作資料核實和退款。銀行兌現退款支票前或會要求查證閣下的香港身份證號碼／護照號碼。
- (4) If an application is made by an unlisted company and:
- the principal business of that company is dealing in securities; and
 - you exercise statutory control over that company,
- then the application will be treated as being made for your benefit.
倘若申請人是一家非上市公司，而：
- 該公司主要從事證券買賣業務；及
 - 閣下對該公司可行使法定控制權，
- 是項申請將視作為閣下的利益提出。
- (5) All joint applicants must give (if they are individuals) their Hong Kong Identity Card numbers or, where applicable, passport numbers, or (if they are bodies corporate) their Hong Kong Business Registration numbers. 所有聯名申請人必須提供(如屬個別人士)其香港身份證號碼或(如適用)護照號碼，或(如屬法人團體)其香港商業登記號碼。

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How to make your application

1. Use the table below to calculate how much you must pay. Your application must be for a minimum of 1,000 Hong Kong Offer Shares and in one of the numbers set out in the table, or your application will be rejected.

NUMBER OF SHARES THAT MAY BE APPLIED FOR AND PAYMENTS							
No. of Hong Kong Offer Shares applied for	Amount payable on application	No. of Hong Kong Offer Shares applied for	Amount payable on application	No. of Hong Kong Offer Shares applied for	Amount payable on application	No. of Hong Kong Offer Shares applied for	Amount payable on application
	HK\$		HK\$		HK\$		HK\$
1,000	3,434.27	30,000	103,028.16	500,000	1,717,136.00	9,000,000	30,908,448.00
2,000	6,868.54	35,000	120,199.52	600,000	2,060,563.20	10,000,000	34,342,720.00
3,000	10,302.82	40,000	137,370.88	700,000	2,403,990.40	11,000,000	37,776,992.00
4,000	13,737.09	45,000	154,542.24	800,000	2,747,417.60	12,000,000	41,211,264.00
5,000	17,171.36	50,000	171,713.60	900,000	3,090,844.80	13,000,000	44,645,536.00
6,000	20,605.63	60,000	206,056.32	1,000,000	3,434,272.00	14,000,000	48,079,808.00
7,000	24,039.90	70,000	240,399.04	2,000,000	6,868,544.00	15,000,000	51,514,080.00
8,000	27,474.18	80,000	274,741.76	3,000,000	10,302,816.00	16,000,000	54,948,352.00
9,000	30,908.45	90,000	309,084.48	4,000,000	13,737,088.00	17,000,000	58,382,624.00
10,000	34,342.72	100,000	343,427.20	5,000,000	17,171,360.00	18,750,000 ⁽¹⁾	64,392,600.00
15,000	51,514.08	200,000	686,854.40	6,000,000	20,605,632.00		
20,000	68,685.44	300,000	1,030,281.60	7,000,000	24,039,904.00		
25,000	85,856.80	400,000	1,373,708.80	8,000,000	27,474,176.00		

⁽¹⁾ Maximum number of Hong Kong Offer Shares you may apply for.

2. You, as the applicant(s), must complete the form in English as indicated below and sign on the first page of the Application Form. Only written signatures will be accepted (and not by way of personal chop).

If you are applying through a designated CCASS Participant (other than a CCASS investor participant):

- the designated CCASS Participant must endorse the form with its company chop (bearing its company name) and insert its participant I.D. in the appropriate box.

If you are applying as an individual CCASS Investor Participant:

- the form must contain your NAME and Hong Kong I.D. Card number.
- your participant I.D. must be inserted in the appropriate box.

If you are applying as a joint individual CCASS Investor Participant:

- the form must contain all joint investor participants' NAMES and the Hong Kong I.D. Card number of all joint investor participants;
- your participant I.D. must be inserted in the appropriate box.

If you are applying as a corporate CCASS Investor Participant:

- the form must contain your company NAME and Hong Kong Business Registration number;
- your participant I.D. and your company chop (bearing your company name) must be inserted in the appropriate box.

Incorrect or omission of details of the CCASS Participant including participant I.D. and/or company chop bearing its company name or other similar matters may render your application invalid.

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3. Staple your cheque or banker’s cashier order to the form. Each application for the Hong Kong Offer Shares must be accompanied by either one separate cheque or one separate banker’s cashier order. Your application will be rejected if your cheque or banker’s cashier order does not meet all the following requirements:

The cheque must:	Banker’s cashier order must:
<ul style="list-style-type: none"> • be in Hong Kong dollars; • not be post-dated; • be made payable to “The Bank of East Asia (Nominees) Limited – Hengshi Mining Public Offer”; • be crossed “Account Payee Only”; 	
<ul style="list-style-type: none"> • be drawn on your Hong Kong dollar bank account in Hong Kong; and • show your account name, which must either be pre-printed on the cheque, or be endorsed on the back by a person authorised by the bank. This account name must correspond with your name. If it is a joint application, the account name must be the same as the first-named applicant’s name. 	<ul style="list-style-type: none"> • be issued by a licensed bank in Hong Kong, and have your name certified on the back by a person authorised by the bank. The name on the banker’s cashier order must correspond with your name. If it is a joint application, the name on the back of the banker’s cashier order must be the same as the first-named applicant’s name.

4. Tear off the Application Form, fold it once and lodge your completed Application Form (with cheque or banker’s cashier order attached) to one of the collection boxes at any of the following branches of The Bank of East Asia, Limited:

	Branch	Address
Hong Kong Island	Queen’s Road Central Branch	Shop A–C, G/F, Wah Ying Cheong Central Building, 158–164 Queen’s Road Central, Central
	Wanchai Branch	Shop A–C, G/F, Easey Commercial Building, 253–261 Hennessy Road, Wanchai
	Chai Wan Branch	345 Chai Wan Road
	Taikoo Shing Branch	Shop G1010–1011, Yiu Sing Mansion
	Admiralty Branch	Shop 1007–1008, 1/F, United Centre, 95 Queensway
Kowloon	Mongkok Branch	638–640 Nathan Road
	Hoi Yuen Road Branch	Unit 1, G/F, Hewlett Centre, 54 Hoi Yuen Road
	Ma Tau Wei Road Branch	23–27 Ma Tau Wei Road
	Whampoa Garden Branch	Shop 1–3, G/F, Site 9, Whampoa Garden
	Wong Tai Sin Branch	Shop UG15, Upper Ground Floor, Wong Tai Sin Plaza, Kowloon
New Territories	Tai Wai Branch	16–18 Tai Wai Road, Cheung Fung Mansion, Shatin
	Tai Po Plaza Branch	Units 49–52, Level 1, Tai Po Plaza
	Tuen Mun Branch	Shop G16, G/F, Eldo Court Shopping Centre
	Park Central Branch	Shop G6, G/F, Park Central, 9 Tong Tak Street, Tseung Kwan O
	Yuen Long Branch	77 Castle Peak Road

5. Your Application Form can be lodged at these times:
- Monday, November 18, 2013 – 9:00 a.m. to 5:00 p.m.
 - Tuesday, November 19, 2013 – 9:00 a.m. to 5:00 p.m.
 - Wednesday, November 20, 2013 – 9:00 a.m. to 5:00 p.m.
 - Thursday, November 21, 2013 – 9:00 a.m. to 12:00 noon
6. The latest time for lodging your application is 12:00 noon on Thursday, November 21, 2013. The application lists will be open between 11:45 a.m. and 12:00 noon on that day, subject only to the weather conditions, as described in “Effect of bad weather on the opening of the application lists” in the “How to Apply for Hong Kong Offer Shares” section of the Prospectus.

如閣下欲以香港中央結算(代理人)有限公司(「香港結算代理人」)的名義登記將獲發行的香港發售股份，並直接存入中央結算及交收系統(「中央結算系統」)，以記存於閣下的中央結算系統投資者戶口持有人股份戶口或閣下指定的中央結算系統參與者股份戶口，請使用本表格

申請手續

1. 使用下表計算閣下應付的款項。閣下申請認購的股數須至少為1,000股香港發售股份，並為下表所列的其中一個數目，否則不受理。

可供申請認購股份數目及應繳款項							
申請認購的香港發售股份數目	申請時應繳款項	申請認購的香港發售股份數目	申請時應繳款項	申請認購的香港發售股份數目	申請時應繳款項	申請認購的香港發售股份數目	申請時應繳款項
	港元		港元		港元		港元
1,000	3,434.27	30,000	103,028.16	500,000	1,717,136.00	9,000,000	30,908,448.00
2,000	6,868.54	35,000	120,199.52	600,000	2,060,563.20	10,000,000	34,342,720.00
3,000	10,302.82	40,000	137,370.88	700,000	2,403,990.40	11,000,000	37,776,992.00
4,000	13,737.09	45,000	154,542.24	800,000	2,747,417.60	12,000,000	41,211,264.00
5,000	17,171.36	50,000	171,713.60	900,000	3,090,844.80	13,000,000	44,645,536.00
6,000	20,605.63	60,000	206,056.32	1,000,000	3,434,272.00	14,000,000	48,079,808.00
7,000	24,039.90	70,000	240,399.04	2,000,000	6,868,544.00	15,000,000	51,514,080.00
8,000	27,474.18	80,000	274,741.76	3,000,000	10,302,816.00	16,000,000	54,948,352.00
9,000	30,908.45	90,000	309,084.48	4,000,000	13,737,088.00	17,000,000	58,382,624.00
10,000	34,342.72	100,000	343,427.20	5,000,000	17,171,360.00	18,750,000 ⁽¹⁾	64,392,600.00
15,000	51,514.08	200,000	686,854.40	6,000,000	20,605,632.00		
20,000	68,685.44	300,000	1,030,281.60	7,000,000	24,039,904.00		
25,000	85,856.80	400,000	1,373,708.80	8,000,000	27,474,176.00		

⁽¹⁾ 閣下可申請認購的香港發售股份最高數目。

2. 閣下作為申請人，必須按照下列指示以英文填妥表格，並於申請表格首頁簽署，只接納親筆簽名(不得以個人印章代替)。

如閣下透過中央結算系統投資者戶口持有人以外的指定中央結算系統參與者提出申請：

- 該指定中央結算系統參與者必須於表格蓋上公司印鑑(附有公司名稱)，並在適當方格內填寫參與者編號。

如閣下以個人中央結算系統投資者戶口持有人名義提出申請：

- 表格須載有閣下的姓名和香港身份證號碼。
- 須在適當方格內填寫閣下的參與者編號。

如閣下以聯名個人中央結算系統投資者戶口持有人名義提出申請：

- 表格須載有所有聯名投資者戶口持有人的姓名和香港身份證號碼；
- 須在適當方格內填寫閣下的參與者編號。

如閣下以公司中央結算系統投資者戶口持有人名義提出申請：

- 表格須載有閣下的公司名稱和香港商業登記號碼；
- 須在適當方格內填寫閣下的參與者編號並蓋上公司印鑑(附有公司名稱)。

中央結算系統參與者的資料(包括參與者編號及/或顯示公司名稱之公司印鑑)或其他類似事項如有不確或遺漏，均可能導致申請無效。

如閣下欲以香港中央結算(代理人)有限公司(「香港結算代理人」)的名義登記將獲發行的香港發售股份，並直接存入中央結算及交收系統(「中央結算系統」)，以記存於閣下的中央結算系統投資者戶口持有人股份戶口或閣下指定的中央結算系統參與者股份戶口，請使用本表格

3. 閣下須將支票或銀行本票釘於表格上。每份香港發售股份申請須附一張獨立開出支票或一張獨立開出銀行本票。支票或銀行本票必須符合以下所有規定，否則有關的認購申請不獲接納：

支票必須：	銀行本票必須：
<ul style="list-style-type: none">為港元；不得為期票；註明抬頭人為「東亞銀行受託代管有限公司－恒實礦業公開發售」；劃線註明「只准入抬頭人賬戶」；	
<ul style="list-style-type: none">從閣下在香港的港元銀行賬戶中開出；及顯示閣下的賬戶名稱，而該賬戶名稱必須已預印在支票上，或由有關銀行授權的人士在支票背書。賬戶名稱必須與閣下姓名／名稱相同。如屬聯名申請，該賬戶名稱必須與排名首位申請人的姓名／名稱相同。	<ul style="list-style-type: none">須由香港持牌銀行開出，並由有關銀行授權的人士在銀行本票背面簽署核證閣下姓名／名稱。銀行本票所示姓名／名稱必須與閣下姓名／名稱相同。如屬聯名申請，銀行本票背面所示姓名／名稱必須與排名首位申請人的姓名／名稱相同。

4. 請撕下申請表格，對摺一次，然後將填妥的申請表格(連同支票或銀行本票)投入下列任何一家東亞銀行有限公司分行特設的收集箱：

	分行	地址
香港島	皇后大道中分行	中環皇后大道中158-164號 華英昌中區大廈地下A-C號
	灣仔分行	灣仔軒尼詩道253-261號 依時商業大廈地下A-C號
	柴灣分行	柴灣道345號
	太古城分行	耀星閣G1010-1011號
	金鐘分行	金鐘道95號統一中心1樓1007-1008號
九龍	旺角分行	彌敦道638至640號
	開源道分行	開源道54號豐利中心地下1號
	馬頭圍道分行	馬頭圍道23至27號
	黃埔花園分行	黃埔花園第9期地下1-3號
	黃大仙分行	九龍黃大仙中心地下高層UG15號
新界	大圍分行	沙田大圍道16-18號祥豐大樓
	大埔廣場分行	大埔廣場地下商場49-52號
	屯門分行	雅都花園商場地下G16號
	將軍澳中心分行	將軍澳唐德街9號將軍澳中心地下G6號
	元朗分行	青山道77號

5. 閣下可於下列時間遞交申請表格：

二零一三年十一月十八日(星期一) - 上午九時正至下午五時正
二零一三年十一月十九日(星期二) - 上午九時正至下午五時正
二零一三年十一月二十日(星期三) - 上午九時正至下午五時正
二零一三年十一月二十一日(星期四) - 上午九時正至中午十二時正

6. 截止遞交申請的時間為二零一三年十一月二十一日(星期四)中午十二時正。本公司將於當日上午十一時四十五分至中午十二時正期間登記認購申請，唯一會影響此時間的變化因素為當日的天氣情況(詳見招股章程「如何申請香港發售股份」一節「惡劣天氣對開始辦理申請登記的影響」)。

